

# Governance, Audit, Risk Management and Standards Committee **AGENDA**

**DATE:** Tuesday 6 December 2016

**TIME:** 7.30 pm

**VENUE:** Committee Room 5,  
Harrow Civic Centre

## **MEMBERSHIP** (Quorum 3)

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**Chair:** Councillor Antonio Weiss

### **Councillors:**

Ghazanfar Ali  
Mrs Chika Amadi  
Margaret Davine

Barry Macleod-Cullinane (VC)  
Amir Moshenson  
Bharat Thakker

### **Reserve Members:**

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- |                           |                  |
|---------------------------|------------------|
| 1. Barry Kendler          | 1. Kanti Rabadia |
| 2. Kairul Kareema Marikar | 2. Pritesh Patel |
| 3. Jeff Anderson          | 3. Chris Mote    |
| 4. Nitin Parekh           |                  |

**Contact:** Frankie Belloli, Senior Democratic Services Officer  
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# AGENDA - PART I

## 1. ATTENDANCE BY RESERVE MEMBERS

To note the attendance at this meeting of any duly appointed Reserve Members.

Reserve Members may attend meetings:-

- (i) to take the place of an ordinary Member for whom they are a reserve;
- (ii) where the ordinary Member will be absent for the whole of the meeting; and
- (iii) the meeting notes at the start of the meeting at the item 'Reserves' that the Reserve Member is or will be attending as a reserve;
- (iv) if a Reserve Member whose intention to attend has been noted arrives after the commencement of the meeting, then that Reserve Member can only act as a Member from the start of the next item of business on the agenda after his/her arrival.

## 2. DECLARATIONS OF INTEREST

To receive declarations of disclosable pecuniary or non pecuniary interests, arising from business to be transacted at this meeting, from:

- (a) all Members of the Committee;
- (b) all other Members present.

## 3. MINUTES (Pages 5 - 10)

That the minutes of the meeting held on 8 September 2016 be taken as read and signed as a correct record.

## 4. PUBLIC QUESTIONS \*

To receive any public questions received in accordance with Committee Procedure Rule 17 (Part 4B of the Constitution).

Questions will be asked in the order notice of them was received and there be a time limit of 15 minutes.

**[The deadline for receipt of public questions is 3.00 pm, Thursday 1 December 2016. Questions should be sent to [publicquestions@harrow.gov.uk](mailto:publicquestions@harrow.gov.uk)**

**No person may submit more than one question].**

## 5. PETITIONS

To receive petitions (if any) submitted by members of the public/Councillors under the provisions of Committee Procedure Rule 15 (Part 4B of the Constitution).

## 6. DEPUTATIONS

To receive deputations (if any) under the provisions of Committee Procedure Rule 16 (Part 4B) of the Constitution.

**7. REFERENCES FROM COUNCIL AND OTHER COMMITTEES/PANELS**

To receive references from Council and any other Committees or Panels (if any).

**8. TREASURY MANAGEMENT STRATEGY STATEMENT AND ANNUAL INVESTMENT STRATEGY: MID-YEAR REVIEW 2016-17 (Pages 11 - 28)**

Report of the Director of Finance

**9. INFORMATION REPORT - REPORT DETAILING THE REVIEW OF THE CORPORATE ANTI-FRAUD AND CORRUPTION STRATEGY (Pages 29 - 56)**

Report of the Corporate Director of Resources and Commercial

**10. INFORMATION REPORT- ANNUAL AUDIT LETTER 2015/16 (Pages 57 - 70)**

Report of the Director of Finance

**11. INFORMATION REPORT - CHANGES TO ARRANGEMENTS FOR APPOINTMENT OF EXTERNAL AUDITORS (Pages 71 - 100)**

Report of the Director of Finance

**12. ANY OTHER URGENT BUSINESS**

Which cannot otherwise be dealt with.

**13. EXCLUSION OF PRESS AND PUBLIC**

To resolve that the press and public be excluded from the meeting for the following items of business, on the grounds that it involves the likely disclosure of confidential information in breach of an obligation of confidence, or of exempt information as defined in Part I of Schedule 12A to the Local Government Act 1972:

<u>Agenda Item No</u>	<u>Title</u>	<u>Description of Exempt Information</u>
14.	Information Report – Corporate Risk Register: Quarter 2, 2016/17	Information under paragraph 1 (contains information relating to any individuals).
15.	Information Report – Internal Audit/ Corporate Anti-Fraud Team Update: Oral Report	Information under paragraphs 1 (contains information relating to any individuals) and 3 (information relating to financial and business affairs of any particular person (including the authority holding that information)).

**AGENDA - PART II**

**14. INFORMATION REPORT - CORPORATE RISK REGISTER: QUARTER 2, 2016/17 (Pages 101 - 134)**

Report of the Corporate Director of Resources and Commercial

**15. INFORMATION REPORT - INTERNAL AUDIT/CORPORATE ANTI-FRAUD TEAM UPDATE: ORAL REPORT**

Report of the Corporate Director of Resources and Commercial

**\* DATA PROTECTION ACT NOTICE**

The Council will audio record item 4 (Public Questions) and will place the audio recording on the Council's website, which will be accessible to all.

[**Note:** The questions and answers will not be reproduced in the minutes.]